

HKEx Information Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

28 August 2013
Our Ref: MDD/13/1649

By Email

To: Direct Connection Securities Market Data Vendors and End-Users

Dear Sirs,

Regular AMS/3 Rehearsal on 14 September 2013 (Saturday)

Please be advised that a regular AMS/3 rehearsal for system recovery under emergency situations (the "Rehearsal") will be conducted on Saturday, 14 September 2013.

The objective of the Rehearsal is to enable Exchange Participants / Traders and related parties to familiarize themselves with contingency procedures for AMS/3 and relevant systems and related operational matters under emergency situations. We would like to invite all Direct Connection Securities Market Data Vendors and End-Users to participate in the Rehearsal.

The Rehearsal will cover two modes of system recovery under emergency situations. The first mode of system recovery is **"Re-start AMS/3 Primary System after system failure during the Order Matching Period in the Pre-opening Session"**. The second mode of system recovery is **"Failover to AMS/3 Backup System after system failure during the Continuous Trading Session"**.

The test rundown of subject Rehearsal is provided as per enclosure 1. You are strongly recommended to participate in the Rehearsal to ensure that your operating procedure and contingency arrangement are well in place to deal with the emergency situations.

To confirm your participation in the Rehearsal, please complete and return the enclosed Test Participation Form before 11 September 2013 (Wednesday). Upon completion of the Rehearsal, you are required to confirm the test result by returning the duly completed Test Result Report as enclosed no later than 6:00pm on the same day.

Should you have any queries, please do not hesitate to contact our Vendor Support Team at (852) 2211 6558 or via email to IVSupport@hkex.com.hk.

Yours sincerely,

Karen Lam
Assistant Vice President
Vendor Support & Data Management, Market Data
Global Markets Division

Enclosures: 1) Test Rundown; 2) Test Participation Form; and 3) Test Result confirmation Form.